

Assignment

of a mortgage over quota shares



This application must be used when assigning to another person a mortgagee's rights, interests, and obligations under a mortgage registered on the Quota Register.

Document Number

FishServe use only

Current Mortgagee Details

This is the person to whom the quota shares are currently mortgaged.

Current Mortgagee client number

Current Mortgagee full legal name

*Business postal address

*Please tick if this is a new address and you wish FishServe to update its records

Quota Owner Details

This is the person who owns the quota shares over which the mortgage is registered.

Quota Owner Client Number

Quota Owner full legal name

New Mortgagee Details

This is the person to whom the mortgage will be assigned upon registration of this memorandum.

Client Number

If the mortgagee does not have a client number, the mortgagee must complete an 'Application for Client Registration' form which may be sent to FishServe with this application. Please note that the memorandum of assignment will not be processed until the new mortgagee is successfully registered as a client.

New Mortgagee client number

New Mortgagee full legal name

*Business postal address

*Please tick if this is a new address and you wish FishServe to update its records

Initials

FishServe Use Only

OFFICE USE ONLY

Fee paid \$ _____

Receipt No. _____

Initials _____

Fee required \$ _____

Data Entry completed ____ / ____ / ____

DATE PRESENTED

1. Full Assignment Details

If you wish to assign the mortgage in relation to **all** shares and stocks currently subject to the mortgage on the Quota Register, please complete section 1. Do not complete section 2. Please note that the fee must still be calculated by reference to the number of lines that will be assigned.

Document Number

This number appears on the original mortgage registration notice or is available from FishServe, and relates to the mortgage in its entirety.

2. Partial Assignment Details

If you wish to assign the mortgage in relation to only some of the stocks currently subject to the mortgage on the Quota Register, please complete the table in section 2.

If you are assigning a mortgage over quota shares for more stocks than this form provides for, continue on a photocopy of the table on this page.

Note: You must complete all columns.

Mortgage Number

This is the reference number of the mortgage that you wish to assign. This number is contained in the original registration notice for the mortgage, or is available from FishServe.

Stock Code

This is the stock code of the stock that is mortgaged. The stock code that is specified must match the mortgage number against which it is registered.

Share Type

This is the share type of the stock that is mortgaged. The share type that is selected must match the stock code and reference number on the mortgage registration notice.

Please complete section 1 or section 2. Do not complete both sections.

Section 1.

I apply to register an assignment of the mortgage with the Document Number QMR in relation to all shares and stocks currently subject to the mortgage on the Quota Register. Upon registration I understand I will retain no registered mortgage with this Document Number.

Section 2. Partial Assignment Details

	Mortgage Number	Stock Code species area	Share Type	
			ITQ	PITQ
1	Q R R		<input type="radio"/>	<input type="radio"/>
2	Q R R		<input type="radio"/>	<input type="radio"/>
3	Q R R		<input type="radio"/>	<input type="radio"/>
4	Q R R		<input type="radio"/>	<input type="radio"/>
5	Q R R		<input type="radio"/>	<input type="radio"/>
6	Q R R		<input type="radio"/>	<input type="radio"/>
7	Q R R		<input type="radio"/>	<input type="radio"/>
8	Q R R		<input type="radio"/>	<input type="radio"/>
9	Q R R		<input type="radio"/>	<input type="radio"/>
10	Q R R		<input type="radio"/>	<input type="radio"/>
11	Q R R		<input type="radio"/>	<input type="radio"/>
12	Q R R		<input type="radio"/>	<input type="radio"/>
13	Q R R		<input type="radio"/>	<input type="radio"/>
14	Q R R		<input type="radio"/>	<input type="radio"/>
15	Q R R		<input type="radio"/>	<input type="radio"/>
16	Q R R		<input type="radio"/>	<input type="radio"/>
17	Q R R		<input type="radio"/>	<input type="radio"/>
18	Q R R		<input type="radio"/>	<input type="radio"/>
19	Q R R		<input type="radio"/>	<input type="radio"/>
20	Q R R		<input type="radio"/>	<input type="radio"/>
21	Q R R		<input type="radio"/>	<input type="radio"/>
22	Q R R		<input type="radio"/>	<input type="radio"/>
23	Q R R		<input type="radio"/>	<input type="radio"/>
24	Q R R		<input type="radio"/>	<input type="radio"/>
25	Q R R		<input type="radio"/>	<input type="radio"/>
26	Q R R		<input type="radio"/>	<input type="radio"/>
27	Q R R		<input type="radio"/>	<input type="radio"/>
28	Q R R		<input type="radio"/>	<input type="radio"/>
29	Q R R		<input type="radio"/>	<input type="radio"/>
30	Q R R		<input type="radio"/>	<input type="radio"/>
31	Q R R		<input type="radio"/>	<input type="radio"/>
32	Q R R		<input type="radio"/>	<input type="radio"/>

Any additional pages must be initialled by the person signing the form.

Have you attached any additional Partial Assignment Details pages?

No

Yes

→ Number of additional Partial Assignment Details pages attached

Mortgagee Declaration

This declaration must be made by the current mortgagee or authorised signatories of the current mortgagee.

I declare that:

- The information I have given on this application is true and correct in all respects.
- I am aware it is an offence to knowingly provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.
- I am authorised to complete this application form and at the date hereof I have not received any notice or information of the revocation of my authorisation from:

Please complete if you are an authorised signatory. →

(full legal name of mortgagee)

If there are more signatories than space provided make further declarations on a copy of this page.

Full Legal Name of Signatory (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

General Notes

- All information on this application must be typewritten or handprinted in legible letters using ink. Correction fluid may not be used.
- The person(s) signing this form must initial every page.
- All amendments and any additional pages must be initialled by the person(s) signing the form.
- The current mortgagee or an authorised signatory of the current mortgagee is required to sign this form.
- The quota owner and the mortgagees will be notified of the outcome of this application.
- This application form is not the contractual documentation between the parties. This form is an application to register the assignment of a mortgage on the Quota Register.
- This Memorandum of Assignment of a Mortgage has no effect for the purpose of the Quota Registers until registered by FishServe.
- This Memorandum of Assignment of a Mortgage will be registered in accordance with section 159 of the Fisheries Act 1996, provided that the application is properly completed and complies with the Fisheries Act 1996.
- This application must be accompanied by the prescribed fee.
- The fee for this application can be determined by referring to the Schedule of Fees available from FishServe. Please make cheques payable to Commercial Fisheries Services Limited.
- This fee is inclusive of GST.
- Please present this document with the prescribed fee by post to FishServe, PO Box 297, Wellington or in person to Level 4, Feltex House, 156-158 Victoria Street, Wellington.
- If you have any queries regarding this form, please contact FishServe.

Initials

Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect and hold the information is FishServe (PO Box 297, Wellington) on behalf of SeaFIC (Private Bag, 249901, Wellington).

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided to FishServe.

The provision of this information is mandatory for the registration of this memorandum.

You may apply to FishServe to prevent the display of your address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.

Initials