

# Consensual Caveat over quota shares



## Quota Owner Details

This is the person whose quota shares are being caveated.

Quota owner client number

Quota owner full legal name

Business postal address

Caveator client number

Caveator full legal name

Business postal address

Document Number

FishServe use only

## Caveator Details

This is the person who is registering the caveat over the quota shares.

## Caveat Details

If you are registering a caveat over quota shares for more stocks than this form provides for, continue on a photocopy of the caveat details table on page two or three of this form. Any additional caveat details pages must be signed by both the Quota Owner and the Caveator and must be securely attached to this application.

This application will be rejected if:

1. The quota holder does not hold sufficient quota shares for the stock; or
2. The quota shares are already subject to a caveat under the Fisheries Act 1996.

### Quota Share Type

This is the type of shares that you wish to caveat. You may select either provisional individual transferable quota (ITQ) or individual transferable quota (PITQ) shares for the stock. If you wish to caveat both PITQ and ITQ shares for the same stock, please record as two separate caveats on two separate lines.

### Caveat Amount

This is the amount of quota shares being caveated for the stock.

### Account

This is the account where the quota shares are held by the quota owner. Please refer to your quota holdings summary for details of your accounts.

### End Date

This is the date on which the caveat on each stock will lapse if applicable.

Continue on next page if necessary

	Stock Code species   area	Account NOR   SET		Quota Share Type ITQ   PITQ		Caveat Amount (Number of Quota Shares)	End Date (if applicable)	
1		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
2		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
3		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
4		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
5		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
6		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
7		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
8		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
9		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
10		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
11		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
12		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/

## FishServe Use Only

OFFICE USE ONLY

Fee paid \$ \_\_\_\_\_

Receipt No. \_\_\_\_\_ Initials \_\_\_\_\_

Fee required \$ \_\_\_\_\_

Data Entry completed \_\_\_/\_\_\_/\_\_\_

DATE PRESENTED

Caveat Details  
continued

	Stock Code		Account		Quota Share Type		Caveat Amount (Number of Quota Shares)	End Date (if applicable)
	species	area	NDR	SET	ITQ	PITQ		
13			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
14			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
15			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
16			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
17			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
18			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
19			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
20			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
21			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
22			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
23			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
24			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
25			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
26			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
27			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
28			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
29			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
30			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
31			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
32			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
33			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
34			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
35			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
36			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
37			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
38			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
39			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
40			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
41			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
42			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
43			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
44			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
45			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
46			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
47			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
48			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
49			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /
50			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/ /

Continue on next page if necessary

## Caveat Details continued

	StockCode		Account		Quota Share Type		Caveat Amount (Number of Quota Shares)	End Date (if applicable)	
	species	area	NOR	SET	ITQ	PITQ			
51			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
52			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
53			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
54			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
55			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
56			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
57			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
58			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
59			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
60			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
61			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
62			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
63			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
64			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/
65			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		/	/

Have you attached any additional caveat details pages?

No  Yes → Number of additional caveat details pages attached

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to give this consent and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.
- I consent to the registration of the caveats detailed in this application.

## Quota Owner Declaration

If there are more signatories than space provided make further declarations on a copy of this page.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

## Caveator Declaration

If there are more signatories than space provided make further declarations on a copy of this page.

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

### General Notes

- All information on this application must be typewritten or handprinted in legible letters using ink. Correction fluid may not be used.
- All amendments must be initialled.
- Both the quota owner and the caveator are required to sign this form.
- The quota owner and the caveator will be notified of the outcome of this application.
- This caveat application is not effective until successfully registered.
- A successful application for registration will be registered pursuant to section 159 of the Fisheries Act 1996.
- This application must be accompanied by the prescribed fee.
- The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.
- Please make cheques payable to Commercial Fisheries Services Limited.
- This fee is inclusive of GST.
- Please present this document with the prescribed fee by post to FishServe, P.O. Box 297, Wellington or in person to level 6, Eagle Technology House, 135 Victoria Street, Wellington 6011.
- If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555.

### Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect the information is FishServe (P.O. Box 297, Wellington) on behalf of SeaFIC (Private Bag 24901, Wellington).

The provision of this information is mandatory for the registration of this consensual caveat under section 149 of the Fisheries Act 1996.

It is an offence under the Fisheries Act 1996 to make a false or misleading statement or entry of information. Penalties are set out in the Fisheries Act 1996.

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided.

You may apply to FishServe to prevent the display of your address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.