

# Application to Register a Transfer Involving Settlement Quota Shares



This application must be used for the following quota transfers:

- Normal quota to settlement quota
- Settlement quota to settlement quota
- Settlement quota to normal quota

Document Number

*FishServe use only*

## From: (Quota Owner/Transferor)

This is the person from whom the quota shares are being transferred.

Quota owner client number

Quota owner full legal name

Business postal address

## To: (Quota Buyer/Transferee)

This is the person to whom the quota shares are being transferred.

Transferee client number

Transferee full legal name

### FishServe Use Only

Fee paid \$ \_\_\_\_\_

Receipt No. \_\_\_\_\_

Initials \_\_\_\_\_

Fee required \$ \_\_\_\_\_

Data Entry completed \_\_\_\_/\_\_\_\_/\_\_\_\_

OFFICE USE ONLY

DATE PRESENTED

## Transfer Details

If you are transferring quota shares for more stocks than this form provides for, continue on a photocopy of the transfer details table on page two or three of this form. Any additional transfer details pages must be **signed by the Quota Owner and Te Ohu Kai Moana Trustee Limited** and must be securely attached to this application.

This application will be rejected if:

1. The quota owner does not hold sufficient quota shares for the stock; or
2. The quota shares to be transferred are already subject to a caveat or a mortgage under the Fisheries Act 1996.

### Quota Owner Account

This is the account in which the Quota owner holds the quota shares for the stock being transferred. This will be either NOR or SET. Refer to your quota holding summary for details.

### Transfer Amount

This is the amount of quota shares being transferred for the stock. The amount must be given in number of shares.

### Transferee Account

This is the account into which the quota shares are to be transferred. If the SET account is specified, then these quota shares will be treated as settlement quota following the registration of this application.

### Total Price per Line

The total price received for each line.

	Stock Code		Quota Owner's Account	Transfer Amount (Number of Quota Shares)	Transferee's Account	Total Price per Line ( \$NZ GST exclusive)
	species	area				
1						
2						
3						
4						
5						
6						
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36						
37						

## Transfer Total

This is the total amount being transferred in this application.

Add all amounts in the transfer amount columns on this form **and** on any additional transfer detail pages which are included with this application.

Have you attached any additional transfer details pages?

 No

 Yes

→ Number of additional transfer details pages attached

→ What is the total number of quota shares being transferred on this application?

## Quota Owner Declaration

If there are more signatories than space provided make further declarations on a copy of this page.

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- The transferee named in this application is aware of and has consented to this application.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

## Te Ohu Kai Moana Trustee Limited Authorisation

If there are more signatories than space provided make further declarations on a copy of this page.

Te Ohu Kai Moana Trustee Limited agrees that:

- All relevant sections concerning the transfer of settlement quota in the Maori Fisheries Act 2004, have been satisfied, and
- Any settlement quota Interest registered against any of the quota shares being transferred, be lifted for the purpose of this transfer; and
- For any settlement quota shares being transferred subject to a settlement quota interest, that this interest continues to be registered against the new owner; and
- Any new settlement quota interest required to be registered against any quota shares transferred be registered against the new owner of these shares.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

## General Notes

- All information on this application must be typewritten or handprinted in legible letters using ink. Correction fluid may not be used.
- All amendments must be initialled by both the transferor and Te Ohu Kai Moana Trustee Limited.
- The Quota owner and Te Ohu Kai Moana Trustee Limited are required to sign this form.
- This application will be rejected and the fee forfeit if any transfer lines in this application cannot be successfully registered.
- The Quota owner, the transferee and Te Ohu Kai Moana Trustee Limited will be notified of the outcome of this application.
- **This transfer application is not effective until successfully registered.**
- A successful application for registration will be registered pursuant to section 159 of the Fisheries Act 1996.
- This application must be accompanied by the prescribed fee.
- The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.
- Please make cheques payable to Commercial Fisheries Services Limited.
- This fee is inclusive of GST.
- Please present this document with the prescribed fee by post to FishServe, PO Box 297, Wellington or in person to level 4, Feltex House, 156-158 Victoria Street, Wellington
- If you have any queries regarding this form, please contact the FishServe helpline.

## Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect the information is FishServe (PO Box 297, Wellington) on behalf of SeaFIC (Private Bag 24901, Wellington).

The provision of this information is mandatory for the transfer of settlement quota shares under section 152B of the Fisheries Act 1996..

It is an offence under the Fisheries Act 1996 to make a false or misleading statement or entry of information. Penalties are set out in the Fisheries Act 1996.

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided.

You may apply to FishServe to prevent the display of your address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.