

# Application to Register a Settlement Quota Interest over quota shares



## Quota Owner Details

This is the person whose quota shares will have a settlement quota interest registered over them.

Quota owner client number

Document Number

FishServe use only

Quota owner full legal name

\*Business postal address

## Settlement Quota Interest Details

If you are registering a settlement quota interest over quota shares for more stocks than this form provides for, continue on a photocopy of the settlement quota interest details table on page two or three of this form. Any additional settlement quota interest details pages must be signed by both the quota owner and Te Ohu Kai Moana Trustee Limited and must be securely attached to this application.

### Quota Share Type

This is the type of shares that you wish to register a settlement quota interest over. You may select either provisional individual transferable quota (PITQ) or individual transferable quota (ITQ) shares for the stock. If you wish to register a settlement quota interest for both PITQ and ITQ shares for the same stock, please record as two separate settlement quota interests, on separate lines.

### Amount

This is the amount of quota shares held in your NOR account that is to be treated as settlement quota. You must hold sufficient shares in this account for the stock and share type specified for the settlement quota interest to be registered.

Continue on the next page if necessary

	Stock Code		Quota Share Type		Amount (Number of Quota Shares)
	species	area	ITQ	PITQ	
1			<input type="radio"/>	<input type="radio"/>	
2			<input type="radio"/>	<input type="radio"/>	
3			<input type="radio"/>	<input type="radio"/>	
4			<input type="radio"/>	<input type="radio"/>	
5			<input type="radio"/>	<input type="radio"/>	
6			<input type="radio"/>	<input type="radio"/>	
7			<input type="radio"/>	<input type="radio"/>	
8			<input type="radio"/>	<input type="radio"/>	
9			<input type="radio"/>	<input type="radio"/>	
10			<input type="radio"/>	<input type="radio"/>	
11			<input type="radio"/>	<input type="radio"/>	
12			<input type="radio"/>	<input type="radio"/>	
13			<input type="radio"/>	<input type="radio"/>	
14			<input type="radio"/>	<input type="radio"/>	
15			<input type="radio"/>	<input type="radio"/>	
16			<input type="radio"/>	<input type="radio"/>	
17			<input type="radio"/>	<input type="radio"/>	
18			<input type="radio"/>	<input type="radio"/>	
19			<input type="radio"/>	<input type="radio"/>	
20			<input type="radio"/>	<input type="radio"/>	
21			<input type="radio"/>	<input type="radio"/>	
22			<input type="radio"/>	<input type="radio"/>	
23			<input type="radio"/>	<input type="radio"/>	

Settlement Quota  
Interest Details  
continued

	Stock Code species   area	Quota ITQ	Share Type   PITQ	Amount (Number of Quota Shares)
24		<input type="radio"/>	<input type="radio"/>	
25		<input type="radio"/>	<input type="radio"/>	
26		<input type="radio"/>	<input type="radio"/>	
27		<input type="radio"/>	<input type="radio"/>	
28		<input type="radio"/>	<input type="radio"/>	
29		<input type="radio"/>	<input type="radio"/>	
30		<input type="radio"/>	<input type="radio"/>	
31		<input type="radio"/>	<input type="radio"/>	
32		<input type="radio"/>	<input type="radio"/>	
33		<input type="radio"/>	<input type="radio"/>	
34		<input type="radio"/>	<input type="radio"/>	
35		<input type="radio"/>	<input type="radio"/>	
36		<input type="radio"/>	<input type="radio"/>	
37		<input type="radio"/>	<input type="radio"/>	
38		<input type="radio"/>	<input type="radio"/>	
39		<input type="radio"/>	<input type="radio"/>	
40		<input type="radio"/>	<input type="radio"/>	
41		<input type="radio"/>	<input type="radio"/>	
42		<input type="radio"/>	<input type="radio"/>	
43		<input type="radio"/>	<input type="radio"/>	
44		<input type="radio"/>	<input type="radio"/>	
45		<input type="radio"/>	<input type="radio"/>	
46		<input type="radio"/>	<input type="radio"/>	
47		<input type="radio"/>	<input type="radio"/>	
48		<input type="radio"/>	<input type="radio"/>	
49		<input type="radio"/>	<input type="radio"/>	
50		<input type="radio"/>	<input type="radio"/>	
51		<input type="radio"/>	<input type="radio"/>	
52		<input type="radio"/>	<input type="radio"/>	
53		<input type="radio"/>	<input type="radio"/>	
54		<input type="radio"/>	<input type="radio"/>	
55		<input type="radio"/>	<input type="radio"/>	
56		<input type="radio"/>	<input type="radio"/>	
57		<input type="radio"/>	<input type="radio"/>	
58		<input type="radio"/>	<input type="radio"/>	
59		<input type="radio"/>	<input type="radio"/>	
60		<input type="radio"/>	<input type="radio"/>	
61		<input type="radio"/>	<input type="radio"/>	

Continue on next page if necessary

## Settlement Quota Interest Details continued

	Stock Code species   area		Quota Share Type ITQ   PITQ		Amount (Number of Quota Shares)
63					
63					
64					
65					
66					
67					
68					
69					
70					
71					
72					
73					
74					
75					
76					

Have you attached any additional settlement quota interest details pages?

No   
  Yes → Number of additional settlement quota interest details pages attached

## Quota Owner Declaration

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to give this consent and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.
- I consent to the registration of a settlement quota interest detailed in this application.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

## Te Ohu Kai Moana Trustee Limited Declaration

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the collection of personal information details supplied with this form.
- The requirements of section 159 of the Maori Fisheries Act 2004 have been met.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

### General Notes

- All information on this application must be typewritten or handprinted in legible letters using ink. Correction fluid may not be used.
- All amendments must be initialled by the quota owner and Te Ohu Kai Moana Trustee Limited.
- Both the quota owner and Te Ohu Kai Moana Trustee Limited are required to sign this form.
- The quota owner and Te Ohu Kai Moana Trustee Limited will be notified of the outcome of this application.
- This settlement quota interest application is not effective until successfully registered.
- A successful application for registration will be registered pursuant to section 159 of the Fisheries Act 1996.
- This application must be accompanied by the prescribed fee.
- The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.
- Please make cheques payable to Commercial Fisheries Services Limited.
- This fee is inclusive of GST.
- Please present this document with the prescribed fee by post to FishServe, PO Box 297, Wellington or in person to level 6, Eagle Technology House, 135 Victoria Street, Wellington 6011.
- If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555.

### Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect the information is FishServe (PO Box 297, Wellington) on behalf of SeaFIC (Private Bag 24901, Wellington).

The provision of this information is mandatory for the registration of this settlement quota interest under section 152A of the Fisheries Act 1996.

It is an offence under the Fisheries Act 1996 to make a false or misleading statement or entry of information. Penalties are set out in the Fisheries Act 1996.

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided.

You may apply to FishServe to prevent the display of your address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.

### FishServe Use Only

Fee paid \$ \_\_\_\_\_

Receipt No. \_\_\_\_\_

Initials \_\_\_\_\_

Fee required \$ \_\_\_\_\_

Data Entry completed \_\_\_\_/\_\_\_\_/\_\_\_\_

OFFICE USE ONLY

DATE PRESENTED