

Mortgage

over quota shares



This application must be used to apply to register a mortgage over quota shares on the Quota Register.

Document Number

FishServe use only

Quota Owner Details

This is the person who owns the quota shares over which the mortgage is to be registered.

Quota Owner Client Number

Quota Owner Full Legal Name

Business Postal Address

Mortgagee Details

This is the person to whom the quota shares are to be mortgaged.

Mortgagee Client Number

Mortgagee Full Legal Name

Mortgage Agreement Details

Does the mortgage agreement to which this application relates contain a covenant to grant a mortgage under the Fisheries Act 1996?

Yes → Please continue No → You are not entitled to make this application

Initials

FishServe Use Only

Receipt No. _____

Fee paid \$ _____

Fee required \$ _____

Data Entry Initials _____

Data Entry completed ____/____/____

Verification Initials _____

Date Verified ____/____/____

OFFICE USE ONLY

DATE PRESENTED

Mortgage Details

If you are registering a mortgage over quota shares for more stocks than this form provides for, continue on a photocopy of the mortgage details table on this page. Any additional mortgage details pages must be signed by the quota owner and must be securely attached to this application.

Note: You must complete all columns.

Quota Type

This is the type of shares the mortgage will be registered over, if shares are owned of that type.

You must select either provisional individual transferable quota (PITQ) or individual transferable quota (ITQ) for the stock. If you wish to mortgage both PITQ and ITQ for the same stock, please record the amount of each type on two separate lines.

Account

This is the account where the quota shares are held by the quota owner. Please refer to your quota holdings summary for details of your accounts.

Number of Quota Shares to be Mortgaged

This is the amount of quota to be mortgaged for the stock.

On each line you must specify the number of quota shares to be charged at the time of registration, as required by section 136 (3) of the Fisheries Act 1996.

This application will be rejected if:

1. The quota owner does not own the number of quota shares to be charged at the time of registration of the mortgage; or
2. The number of quota shares to be mortgaged is subject to any caveat* or other mortgage registered under the Fisheries Act 1996.

*Please note that a caveator may consent to the registration of a mortgage over shares already subject to a caveat. Consent must be provided with this application and on the approved form.

	Stock Code species area		Account NOR SET		Quota Share Type ITQ PITQ		Number of Quota Shares to be Mortgaged
1			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
2			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
3			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
4			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
5			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
6			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
7			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
8			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
9			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
10			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
11			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
12			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
13			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
14			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
15			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
16			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
17			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
18			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
19			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
20			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
21			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
22			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
23			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
24			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
25			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
26			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
27			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
28			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
29			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
30			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
31			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
32			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
33			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
34			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
35			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

	Stock Code		Account		Quota Share Type		Number of Quota Shares to be Mortgaged
	species	area	NOR	SET	ITQ	PITQ	
36			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
37			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
38			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
39			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
40			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
41			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
42			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
43			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
44			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
45			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
46			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
47			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
48			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
49			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
50			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

Have you attached any additional Mortgage Details pages?

No
 Yes → Number of additional Mortgage Details pages attached

Quota Owner Declaration

This declaration must be made by the quota owner or authorised signatories of the quota owner.

I declare that:

- The information I have given on this application is true and correct in all respects.
- I am aware it is an offence to knowingly provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.
- I am authorised to complete this application form and at the date hereof I have not received any notice or information of the revocation of my authorisation from:

Please complete if you are an authorised signatory. →

If there are more signatories than space provided make further declarations on a copy of this page.

(full legal name of quota owner)

Full Legal Name of Signatory (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

General Notes

- All information on this application must be typewritten or handprinted in legible letters using ink. Correction fluid may not be used.
- The person(s) signing this form must initial every page.
- All amendments must be initialled by the person(s) signing the form.
- Only the quota owner or authorised signatories of the quota owner may sign this form.
- This application form is not the contractual documentation between the parties. This form is an application to register the existence of a mortgage on the Quota Register.
- The mortgagee must be registered as a client with FishServe. Application to become a client may be made with this mortgage application, however the mortgage application will not be processed unless the mortgagee is successfully registered as a client.
- The quota owner and the mortgagee will be notified of the outcome of this application.
- This application has no effect for the purpose of the Quota Registers until registered by FishServe.
- This application will be rejected and the fee forfeit if the mortgage application cannot be registered.
- This Application to Register a Mortgage over Quota Shares will be registered in accordance with section 159 of the Fisheries Act 1996, provided that the application is properly completed and complies with the Fisheries Act 1996.
- This application must be accompanied by the prescribed fee.
- The fee for this application can be determined by referring to the Schedule of Fees available from FishServe. Please make cheques payable to Commercial Fisheries Services Limited.
- This fee is inclusive of GST.
- Please present this document with the prescribed fee by post to FishServe, P.O. Box 297, Wellington or in person to Level 6, Eagle Technology House, 135 Victoria Street, Wellington 6011.
- If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555 .

Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect and hold the information is FishServe (P.O. Box 297, Wellington) on behalf of SeaFIC (Private Bag 24901, Wellington).

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided to FishServe.

The provision of this information is mandatory for the registration of this mortgage application.

You may apply to FishServe to prevent the display of your address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.