

Application to register Transfer of Quota Shares



This form cannot be used to transfer settlement quota.

Document Number

FishServe use only

From: (Quota Owner/Transferor)

This is the person from whom the quota shares are being transferred.

Quota owner client number

Quota owner full legal name

Business postal address

To: (Quota Buyer/Transferee)

This is the person to whom the quota shares are being transferred.

Transferee client number

Transferee full legal name

Transfer Details

If you are transferring quota shares for more stocks than this form provides for, continue on a photocopy of the transfer details table on page two or three of this form. Any additional transfer details pages must be signed by the Quota owner and must be securely attached to this application. Note that part of an ITQ share is not transferable and that PITQ is not transferable except by operation of law.

This application will be rejected if:

1. The transferor does not own the ITQ to be transferred; or
2. The quota shares to be transferred are subject to any caveat or mortgage registered under the Fisheries Act 1996.

Transfer Amount

This is the amount of quota shares being transferred for the stock. The amount must be given in number of shares.

Total Price per Line

The total price received for each line.

	Stock Code species area	Transfer Amount (Number of Quota Shares)	Total Price per Line (\$NZ GST exclusive)
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

Transfer Amount subtotal
for this page (optional)

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Fee paid \$ _____

Receipt No. _____

Initials _____

Fee required \$ _____

Data Entry
completed ____/____/____

OFFICE USE ONLY

DATE PRESENTED

Transfer Details
continued

	Stock Code species area	Transfer Amount (Number of Quota Shares)	Total Price per Line (\$NZ GST exclusive)
11			
12			
13			
14			
15			
16			
17			
18			
19			
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42			
43			
44			
45			
46			
47			

Transfer Amount subtotal
for this page (optional)

	Stock Code species area	Transfer Amount (Number of Quota Shares)	Total Price per Line (\$NZ GST exclusive)
48			
49			
50			
51			
52			
53			
54			
55			
56			
57			
58			
59			
60			
61			
62			
63			
64			
65			
66			

Transfer Amount subtotal for this page (optional)

Transfer Total

This is the total amount being transferred in this application.
Add all amounts in the Transfer Amount columns on this form and on any additional transfer details pages which are included with this application.

Have you attached any additional transfer details pages?
 No Yes → Number of additional transfer details pages attached

→ What is the total number of quota shares being transferred on this application?

Quota Owner Declaration

If there are more signatories than space provided make further declarations on a copy of this page.

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- The transferee named in this application is aware of and has consented to this application.
- I am aware it is an offence to knowingly provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.

Full Legal Name (Please PRINT)	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

General Notes

- All information on this application must be typewritten or handprinted in legible letters using ink. Correction fluid may not be used.
- All amendments must be initialled.
- Only the Quota owner is required to sign this form.
- You may only transfer quota shares if you own sufficient quota shares as recorded in the Quota Register for the stock. You are unable to transfer quota shares if they are subject to a caveat or a mortgage.
- This application will be rejected and the fee forfeit if any transfer lines in this application cannot be successfully registered.
- The Quota owner and the transferee will be notified of the outcome of this application.
- This transfer application is not effective until successfully registered.
- A successful application for registration will be registered pursuant to section 159 of the Fisheries Act 1996.
- This application must be accompanied by the prescribed fee.
- The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.
- Please make cheques payable to Commercial Fisheries Services Limited.
- This fee is inclusive of GST.
- Please present this document with the prescribed fee by post to FishServe, P.O. Box 297, Wellington or in person to level 6, Eagle Technology House, 135 Victoria Street, Wellington 6011.
- If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555.

Transferee Note

- The transferee must be registered as a client with FishServe before a transfer can be registered. Application to become a client may be made with this transfer application.

Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect the information is FishServe (P.O. Box 297, Wellington) on behalf of SeaFIC (Private Bag 24901, Wellington).

The provision of this information is mandatory for the registration of transfer of quota shares under section 132 of the Fisheries Act 1996.

It is an offence under the Fisheries Act 1996 to make a false or misleading statement or entry of information. Penalties are set out in the Fisheries Act 1996.

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided.

You may apply to FishServe to prevent the display of your address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.